

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: A.S. Hoffman et al. Attorney Docket No.: UWOTL119001  
Application No.: 09/755,701 Art Unit: 1614 / Confirmation No.: 3998  
Filed: January 5, 2001 Examiner: R.J. Henley, III  
Title: ENHANCED TRANSPORT USING MEMBRANE DISRUPTIVE AGENTS

REQUEST FOR REFUND  
(IMPROPER CHARGE OF DEPOSIT ACCOUNT)

Seattle, Washington 98101

March 24, 2010

DIRECTOR – U.S. PATENT AND TRADEMARK OFFICE:

ATTENTION: Refund Section, Accounting Division, Office of Finance

I. Refund Request

This is a request for a refund with respect to the charge to Deposit Account No. 03-1740, shown on the statement dated March 2010 for the above-identified patent application. A copy of the pertinent pages of the monthly statement in which the referenced error occurs is included in this request.

II. Fees Charged for Which Refund Requested

	Amount of Fee Requested
2202 - Claims in excess of 20 (1@\$26 each)	26.00
<b>Total Refund Requested:</b>	<b>\$26.00</b>

III. Explanation of Why Contested Charge Is in Error

At the time the application was originally filed on January 5, 2001, the initial filing fee of \$355, multiple dependent claim fee of \$135, and additional claim fee in the amount of \$270 for 30 claims over 20, were paid (see attached Fee Transmittal and USPTO Fee Record Sheet). When the Amendment After Non-Final Rejection was filed on February 5, 2010, total of 31 claims were submitted. As the original number of claims paid for was 50, no additional claim fee was due.

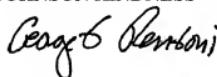
LAW OFFICES OF  
CHRISTENSEN O'CONNOR JOHNSON KINDNESS<sup>LLC</sup>  
1420 Fifth Avenue  
Suite 2800  
Seattle, Washington 98101  
206.682.8100

IV. Manner of Refund

Please provide us with a refund by crediting Deposit Account No. 03-1740.

Respectfully submitted,

CHRISTENSEN O'CONNOR  
JOHNSON KINDNESS<sup>PLLC</sup>



George E. Renzoni, Ph.D.  
Registration No. 37,919  
Direct Dial No. 206.695.1755

GER:mjd

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CHRISTENSEN O'CONNOR JOHNSON KINDNESS<sup>PLLC</sup>  
1420 Fifth Avenue  
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206.682.8100



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**Deposit Account Statement**

**Requested Statement Month:** March 2010  
**Deposit Account Number:** 031740  
**Name:** CHRISTENSEN O'CONNOR JOHNSON & KINDNESS  
**Attention:**  
**Street Address 1:** 2800 PACIFIC FIRST CENTRE  
**Street Address 2:** 1420 FIFTH AVENUE  
**City:** SEATTLE  
**State:** WA  
**Zip:** 98101  
**Country:** UNITED STATES

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
03/01	15	11993433	VOPA130394	2681	-\$390.00	\$5,521.12
03/01	16	11993433	VOPA130394	2681	\$260.00	\$5,261.12
03/01	15499	29346951	34447AH	8007	\$20.00	\$5,241.12
03/01	15511	29346948	34447AH	8007	\$20.00	\$5,221.12
03/01	15521	29346944	34447AH	8007	\$20.00	\$5,201.12
03/01	15531	29346950	34447AH	8007	\$20.00	\$5,181.12
03/01	16005	60882821	UWOTL-1-33427 NLF	8007	\$20.00	\$5,161.12
03/01	16038	61169670	BECI134393/33185	8007	\$40.00	\$5,121.12
03/01	16059	12552961	BECI134393/33569	8007	\$40.00	\$5,081.12
03/03	18	12514308	BOEH133194	2615	\$26.00	\$5,055.12
03/08	700	12202138	33737 AH	8007	\$20.00	\$5,035.12
03/08	49	11337930	NAIS126739	1253	-\$1,050.00	\$6,085.12
03/09	10342	11771874	WEYE129835	1402	\$540.00	\$5,545.12
03/09	10681	11495170	AUST127719	1811	\$100.00	\$5,445.12
03/09	3	09755701	UWOTL119001	2202	\$26.00	\$5,419.12
03/10	9603	09801490	125530	1501	\$1,510.00	\$3,909.12
03/10	9807	12677296	LAMA134551	2631	\$165.00	\$3,744.12
03/10	9809	12677296	LAMA134551	2633	\$110.00	\$3,634.12
03/10	9808	12677296	LAMA134551	2642	\$215.00	\$3,419.12
03/11	8348	E-REPLENISHMENT		9203	-\$3,000.00	\$6,419.12
03/11	8352	11319763	DESS126658	1501	\$1,510.00	\$4,909.12
03/11	8353	11319763	DESS126658	1504	\$300.00	\$4,609.12
					START BALANCE	SUM OF CHARGES
						SUM OF REPLENISH BALANCE
					\$5,131.12	\$4,962.00
						\$4,440.00
						\$4,609.12

# FEET TRANSMITTAL

## for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$760.00)

## Complete If Known

Application Number	
Filing Date	January 5, 2001
First Named Inventor	Allan S. Hoffman
Examiner Name	
Group Art Unit	
Attorney Docket No.	UWS 105

## METHOD OF PAYMENT (check one)

The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 01-2507  
 Deposit Account Name Arnall Golden & Gregory, LLC

Charge Any Additional Fee Required  
 Under 37 CFR 1.16 and 1.17

Applicant claims small entity status.  
 See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity/Small Entity	Fee	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)	Code (\$)	Code (\$)		
105 130 205 85				Surcharge - late filing fee or oath	
127 50 227 25				Surcharge - late provisional filing fee or cover sheet	
139 130 139 130				Non-English specification	
147 2,520 147 2,520				For filing a request for ex parte reexamination	
112 920* 112 920*				Requesting publication of SIR prior to Examiner action	
113 1,840* 113 1,840*				Requesting publication of SIR after Examiner action	
115 110 215 55				Extension for reply within first month	
116 390 216 195				Extension for reply within second month	
117 890 217 445				Extension for reply within third month	
118 1,390 218 695				Extension for reply within fourth month	
128 1,890 228 945				Extension for reply within fifth month	
119 310 216 155				Notice of Appeal	
120 310 220 155				Filing a brief in support of an appeal	
121 270 221 135				Request for oral hearing	
138 1,510 138 1,510				Petition to institute a public use proceeding	
140 110 240 55				Petition to revive - unavoidable	
141 1,240 241 620				Petition to revive - unintentional	
142 1,240 242 620				Utility issue fee (or release)	
143 440 243 220				Design issue fee	
144 600 244 300				Plant issue fee	
122 130 122 130				Petitions to the Commissioner	
123 50 123 50				Petitions related to provisional applications	
126 240 126 240				Submission of Information Disclosure Stmt	
581 40 581 40				Recording each patent assignment per property (times number of properties)	
148 710 246 355				Filing a submission after final rejection (37 CFR § 1.129(e))	
149 710 249 355				For each additional invention to be examined (37 CFR § 1.126(b))	
179 710 270 355				Request for Continued Examination (RCE)	
189 900 169 900				Request for expedited examination of a design application	

## SUBTOTAL (1) (\$)

## 2. EXTRA CLAIM FEES

Extra Claims	Fee from below	Fee Paid
50 -20 = 30	$\times$ 9.00 =	270.00
3 -3 = 0	$\times$ 0 =	0
		135.00 (135.00)

## Large Entity Small Entity

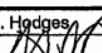
Fee Code (\$)	Fee Code (\$)	Fee Description
103 18 203 9		Claims in excess of 20
102 80 202 40		Independent claims in excess of 3
104 270 204 135		Multiple dependent claim, if not paid
109 60 209 40		** Release independent claims over original patent
110 18 210 9		** Release claims in excess of 20 and over original patent

## SUBTOTAL (2) (\$405.00)

Reduced by Basic Filing Fee Paid

## SUBTOTAL (3) (\$)

## Complete If Applicable

Name (Print/Type)	Robert A. Hedges	Registration No. (Attorney/Agent)	41,074	Telephone	404-873-8796
Signature				Date	January 5, 2001

WARNING: Information on this form may become public. Credit card information should not be included in this form. Provide credit card information and authorization on PTO-2038.

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

10/2001 MN001 00000034 09755701

FC:201	355.00	DP
FC:203	270.00	DP
FC:204	135.00	DP

12/2001 CVORACH 00000010 012507 09755701

FC:203	610.00	CH
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PTO-1556  
(5/87)